# THE PHYSICS OF IONIZED GAS IN AGN: TESTING PREDICTIONS FROM FIRST PRINCIPLES


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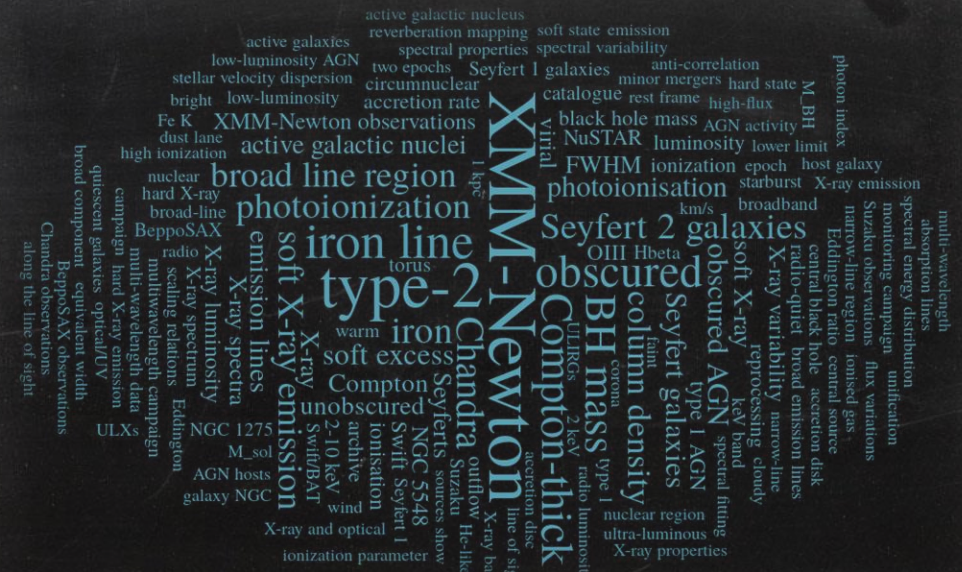
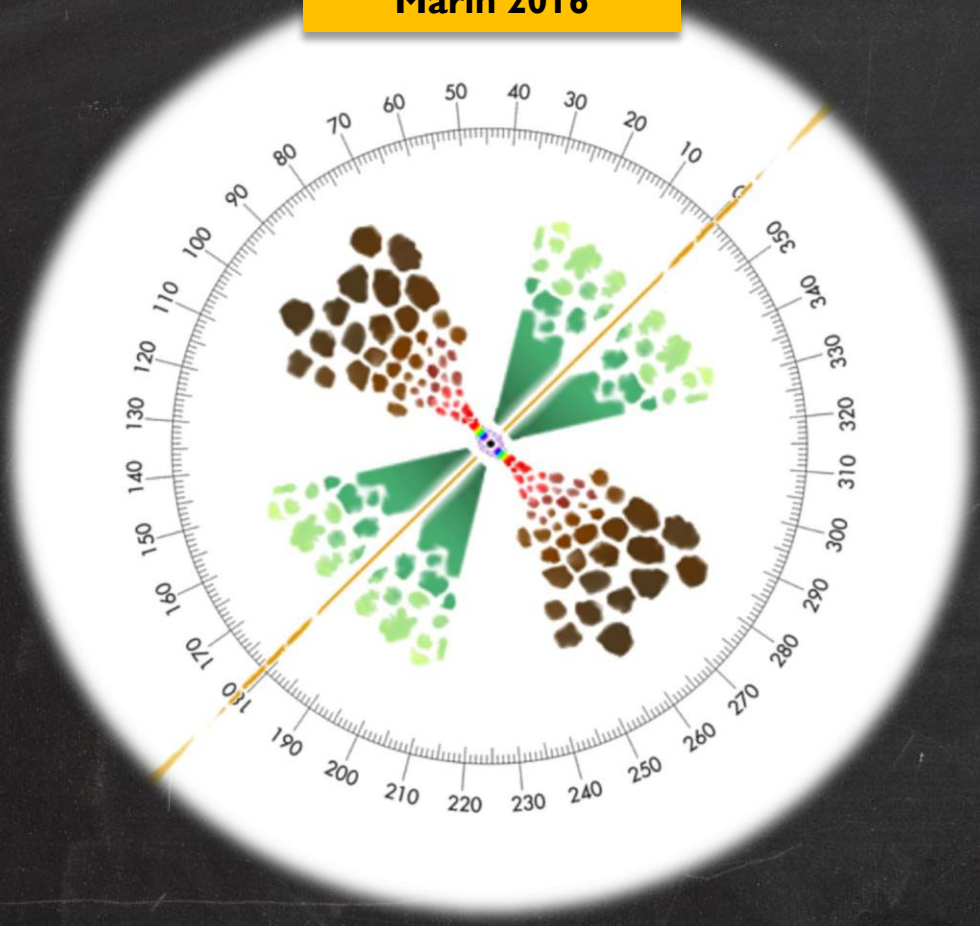




June 6th 2019 – 12th SCSLSA – Vrdnik, Serbia

**Marin 2016**




Ionized gas in AGN is present on all scales, from few $r_g$ to several kpc

Despite the complex phenomenology, the physics of this gas is governed by a few fundamental principles.

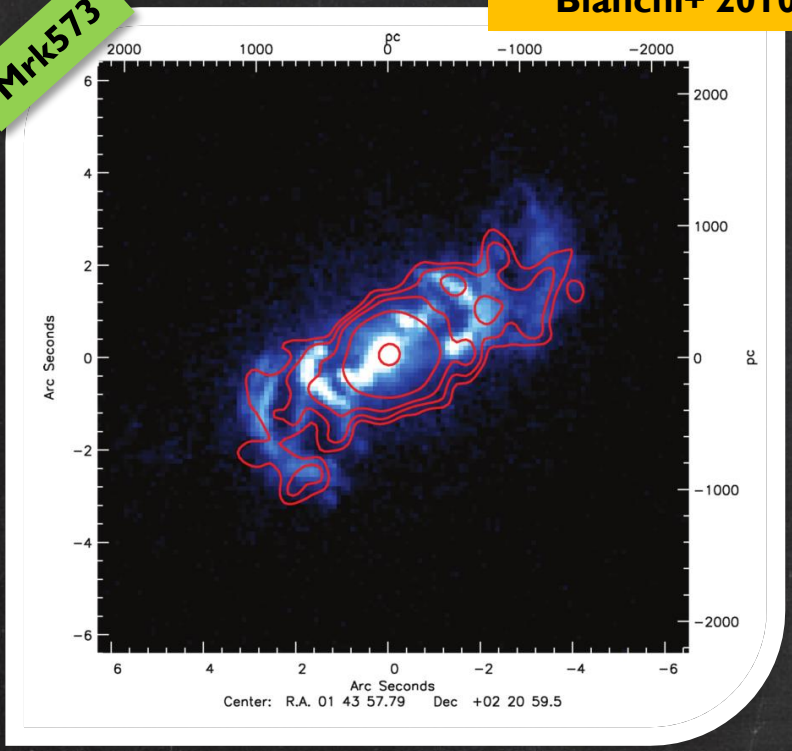
The nuclear strong radiation field is likely the only ionization and heating source

Gas dynamics in some regions is almost completely governed by the Black Hole mass

The consequences of these first principles lead to clear predictions that can be tested experimentally

Mrk573

Bianchi+ 2010



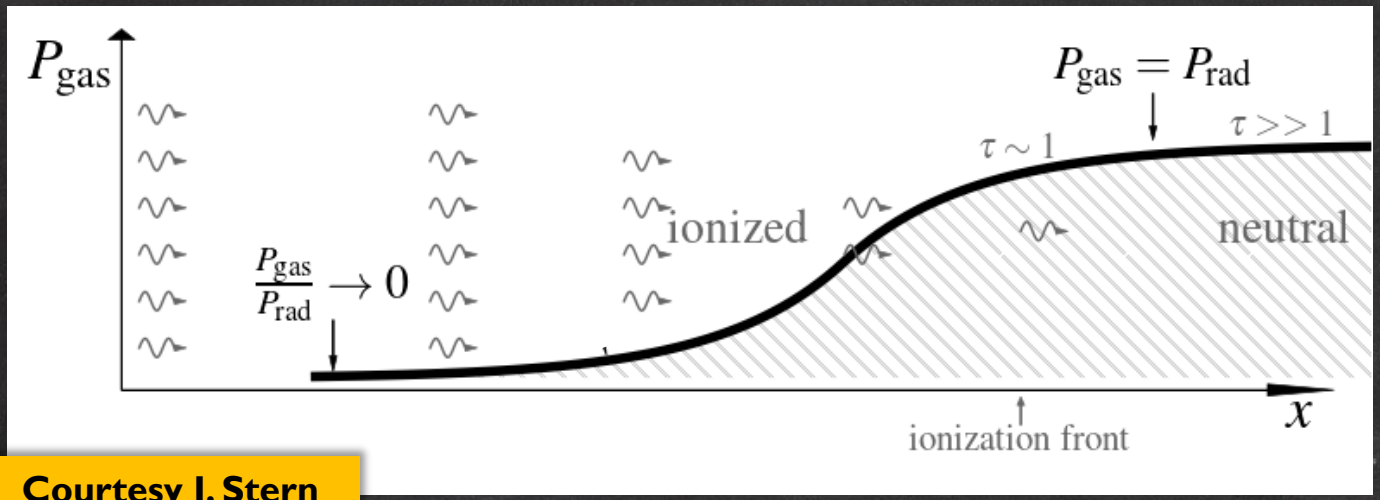

The coincidence between the soft X-ray and [O III] emission is striking in most sources observed by *Chandra* and *HST*, both in extension and in morphology (e.g. Bianchi+, 2006)

The same gas, photoionized by the AGN continuum, and extended on $\sim 100s$ pc, produces both the soft X-ray emission lines and the NLR optical emission

- Inconsistent with a single-$U$ model $\rightarrow$ requires high-$U$ and low-$U$ phases
  - The [O III]/soft X-ray ratio is spatially constant $\rightarrow n \propto r^{-2}$
  - The [O III]/soft X-ray ratio is fairly universal among the sources

# Radiation Pressure Compression

Mathews 67; Pier&Voit 95; Dopita+02; Różańska+06; Pellegrini+07,09; Draine 11; Yeh&Matzner 12;Stern+14a,b; Baskin+14a,b




Courtesy J. Stern

## Assumptions

- ✓ Radiation is the dominant force acting on the gas
- ✓ The ambient pressure is much lower than radiation pressure

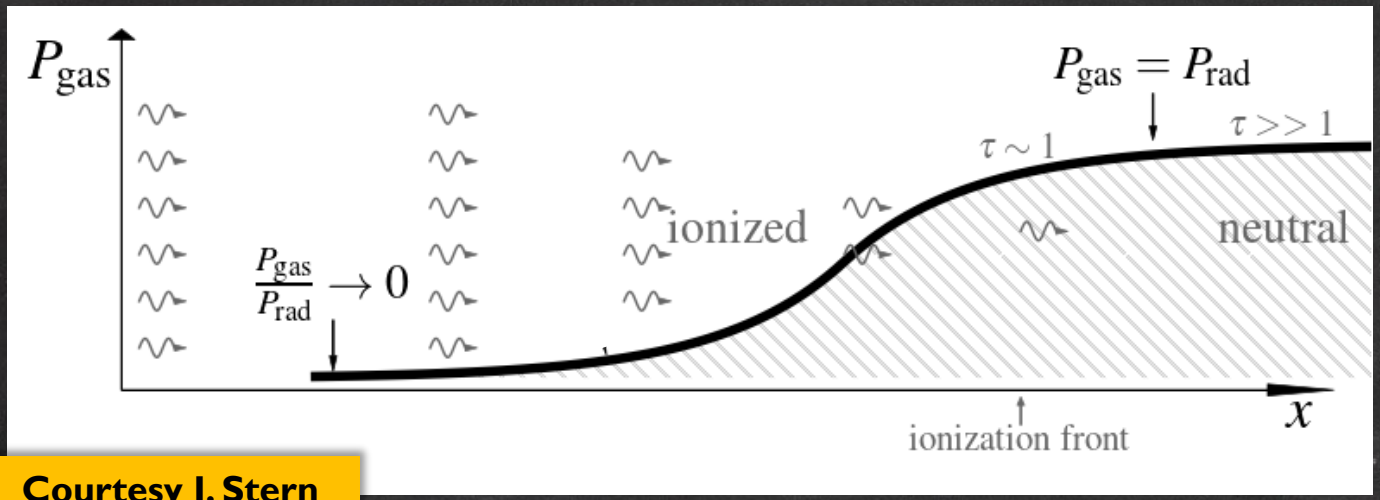
## Consequences

The radiation is absorbed in the surface layer of the gas, both ionizing it and compressing it, thus increasing its pressure

The pressure of the incident radiation itself can confine the ionized layer of the illuminated gas: **the gas is Radiation Pressure Compressed**

# Radiation Pressure Compression

Mathews 67; Pier&Voit 95; Dopita+02; Różańska+06; Pellegrini+07,09; Draine 11; Yeh&Matzner 12;Stern+14a,b; Baskin+14a,b




Courtesy J. Stern

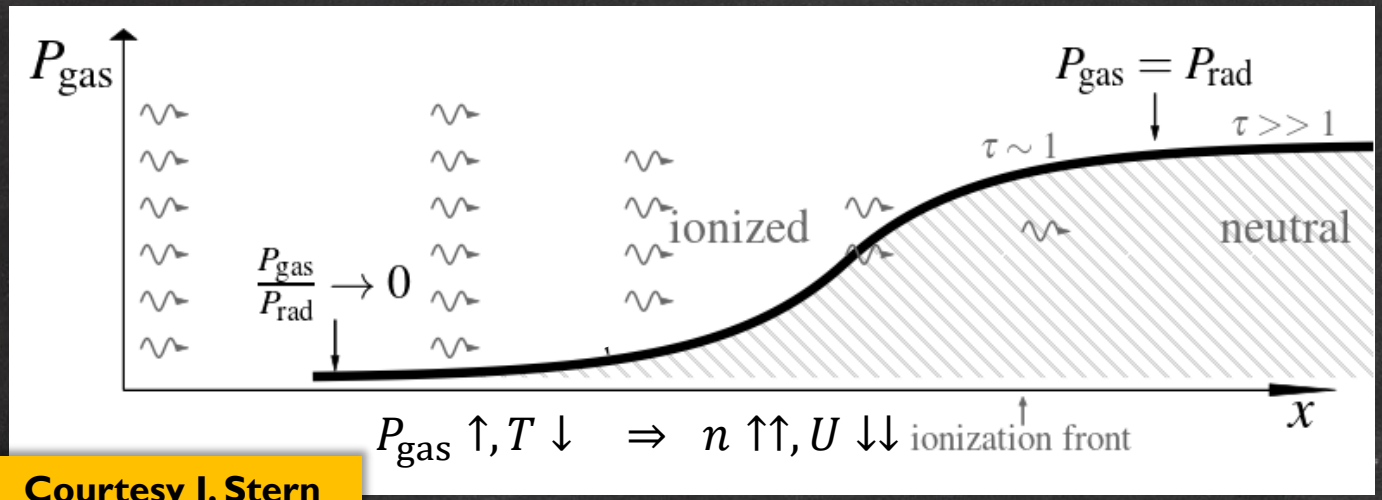
At $\tau \gg 1$, all the radiation is absorbed, and there is a transition to neutral gas

At $\tau \sim 1$, the gas pressure roughly equals the radiation pressure: this layer is called the **ionization front**

Near the ionization front, at the boundary between the H II and H I layers, the temperature is always $T_f \sim 10^4\ K$, and the equality of gas pressure and radiation pressure implies that the ionization parameter is always $\sim 0.03$

# Radiation Pressure Compression

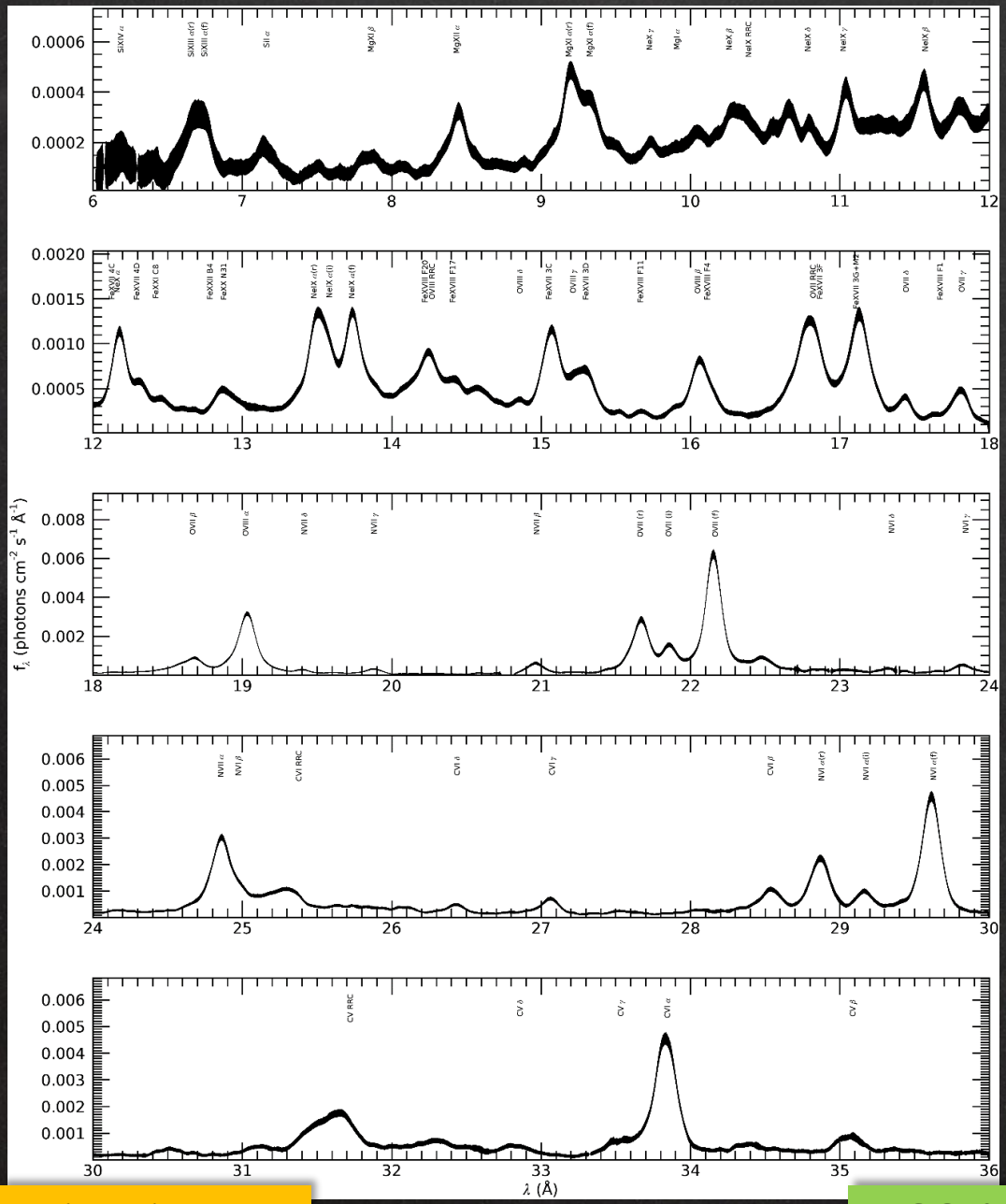
Mathews 67; Pier&Voit 95; Dopita+02; Różańska+06; Pellegrini+07,09; Draine 11; Yeh&Matzner 12;Stern+14a,b; Baskin+14a,b




Courtesy J. Stern


- ✓ A large range of $n$ and $U$ in a single slab: the same gas which emits the low-ionization emission lines has a highly ionized surface which emits X-ray lines
- ✓ At the ionization front, the temperature is universal and $P_{gas} = P_{rad}$: since the latter is $\propto r^{-2}$, then $n \propto r^{-2}$
- ✓ The hydrostatic solution of RPC gas is independent of the boundary values at the illuminated surface $(U_0, n_0, P_{gas,0})$: RPC models are universal and have essentially zero free parameters

# Soft X-ray Emission in Obscured AGN




Dominated by strong emission lines with low or no continuum

Most of the 'soft excess' is concentrated in very strong lines easily detected even in very low SNR spectra

(e.g. Guainazzi & Bianchi, 2007)

Diagnostic ratios on triplets and higher order series lines point to photoionization, with an important role of photoexcitation

(e.g. Kinkhabwala+ 2002, Guainazzi & Bianchi, 2007)

Bianchi+ prep.

NGC1068

# The Emission Measure Distribution

Emission line luminosity

$$L = \int_V j_{ul}(\xi) dV$$

Emissivity

$$j_{ul}(\xi)$$

Ionization Parameter

$$\xi = L_{ion}/n_e r^2$$

Emission Measure

$$\mathrm{EM} = \int_V n_e^2 dV$$

Line Power

$$P_{ul}(\xi) = j_{ul}/n_e^2$$

$$L = \int_\xi d\log\xi \left[\frac{d\,(\mathrm{EM})}{d\log\xi}\right] P_{ul}(\xi)$$

The bracketed quantity above represents the **differential emission measure (DEM) distribution** (e.g. Liedahl 1999; Sako+ 1999)

In practice, the DEM distribution is the ensemble of weighting factors that determine the contributions of each ionization zone to the total line flux

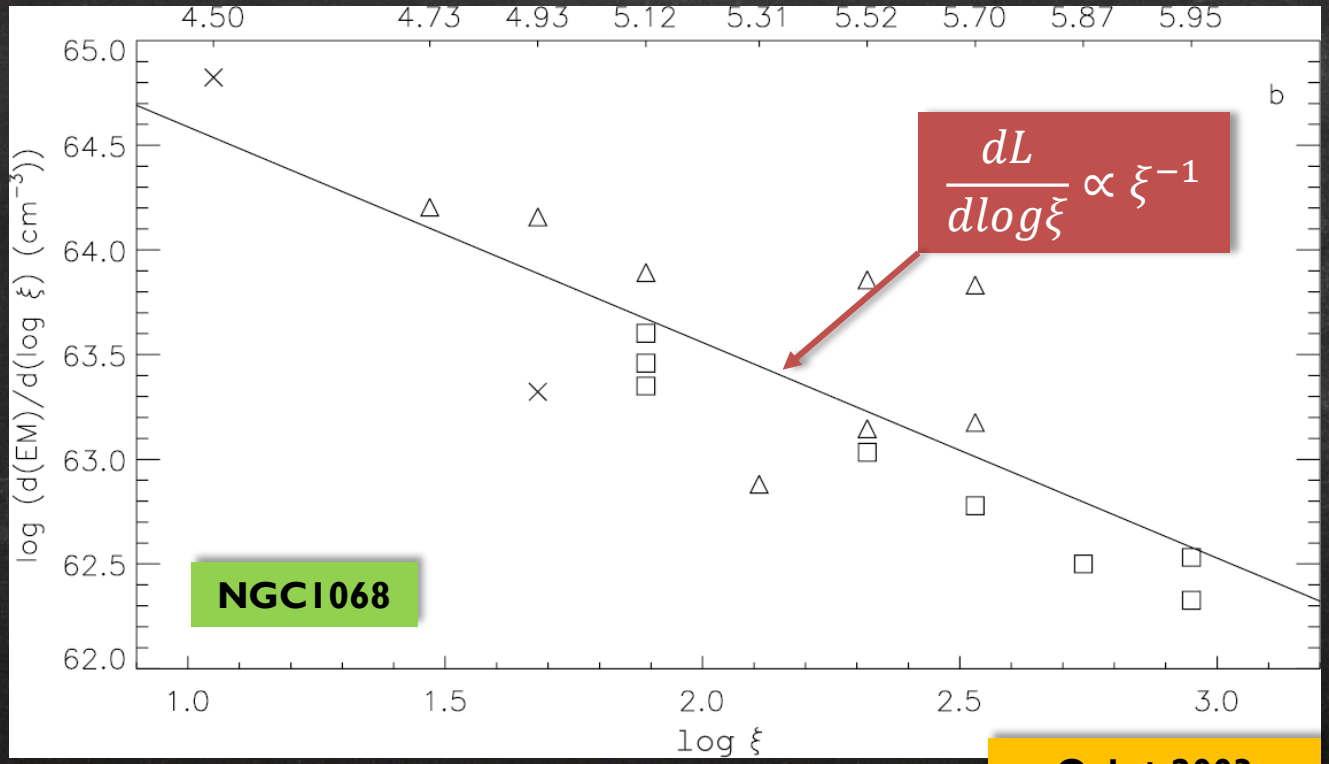
The usefulness of the DEM is that it can be derived theoretically for a given scenario, and readily compared to what is measured experimentally

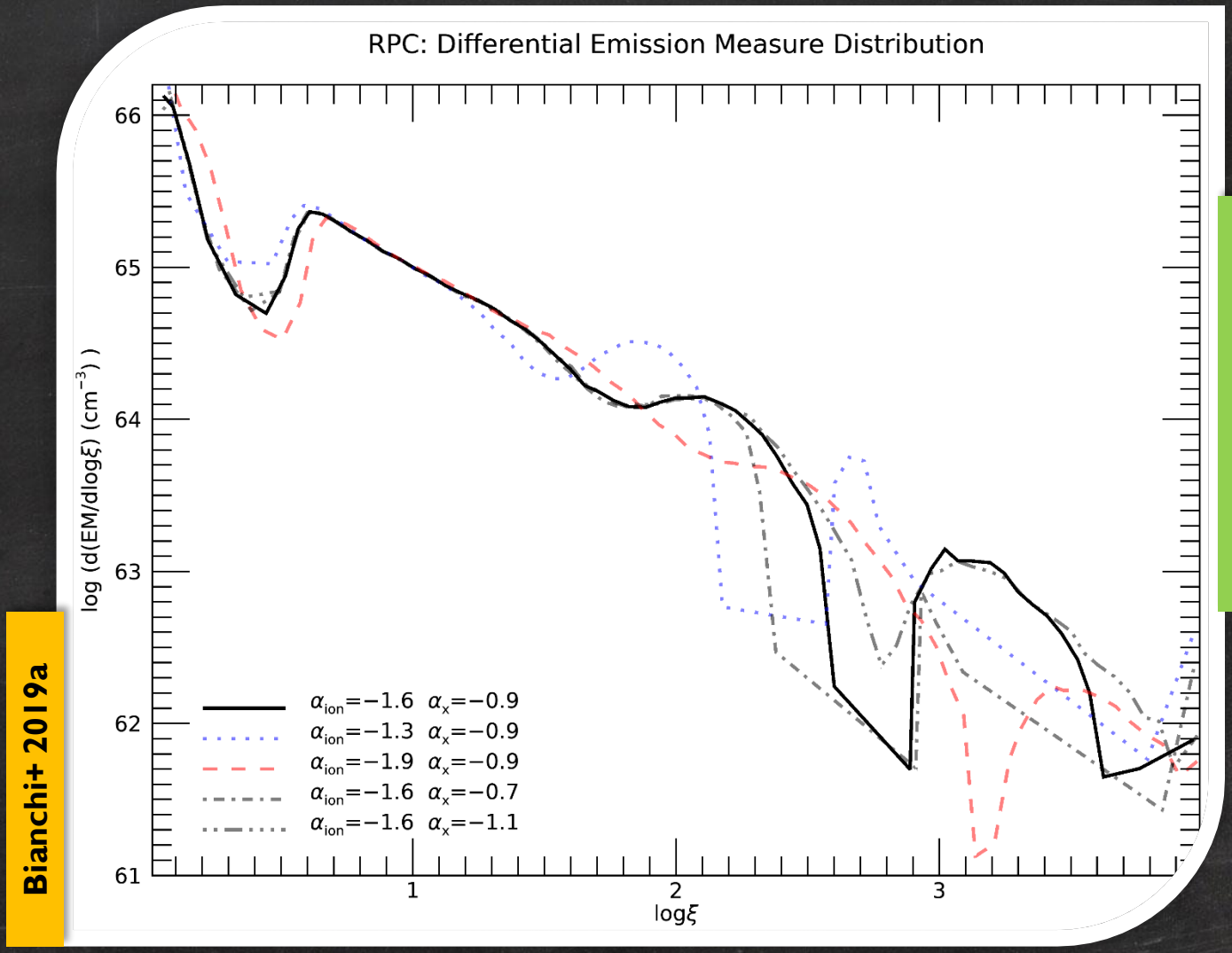
CONSTANT DENSITY (LIEDAHL 1999)

$$d\,(\mathrm{EM})\,/d\log\xi \propto \xi^{-3/2}$$

RPC (STERN+14, BIANCHI 2019A)

$$\frac{d}{d\log\xi}\mathrm{EM} = 2.2\cdot 10^{68}\,\Omega_{4\pi} L_{45} \xi^{-0.9}\ \mathrm{cm}^{-3}$$

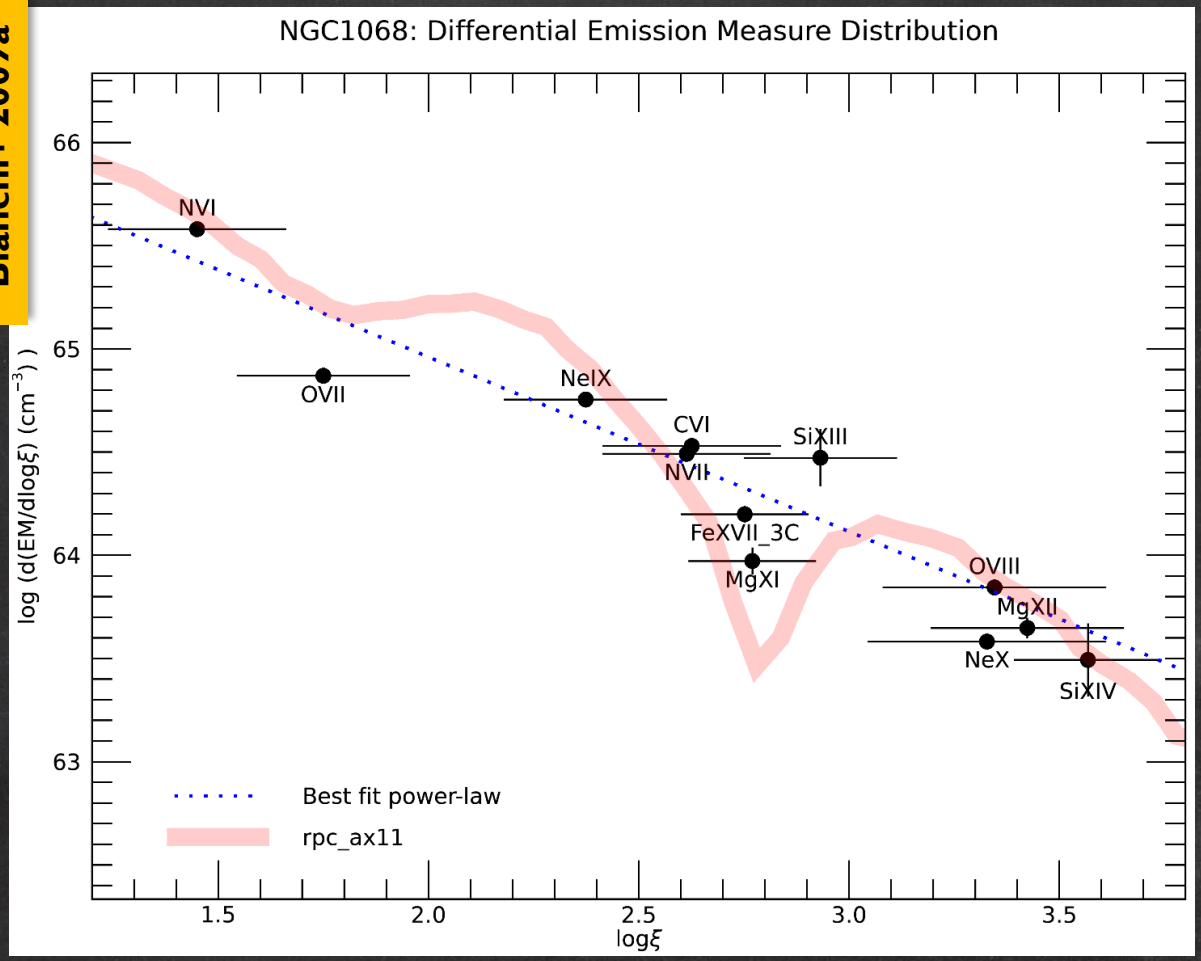





The derived DEM in the case of RPC gas is very characteristic and robust against the specific gas parameters and illuminating SEDs

In practice, the DEM is basically set by the hydro-static equilibrium which the gas must obey in case of RPC, and does not depend on the other details

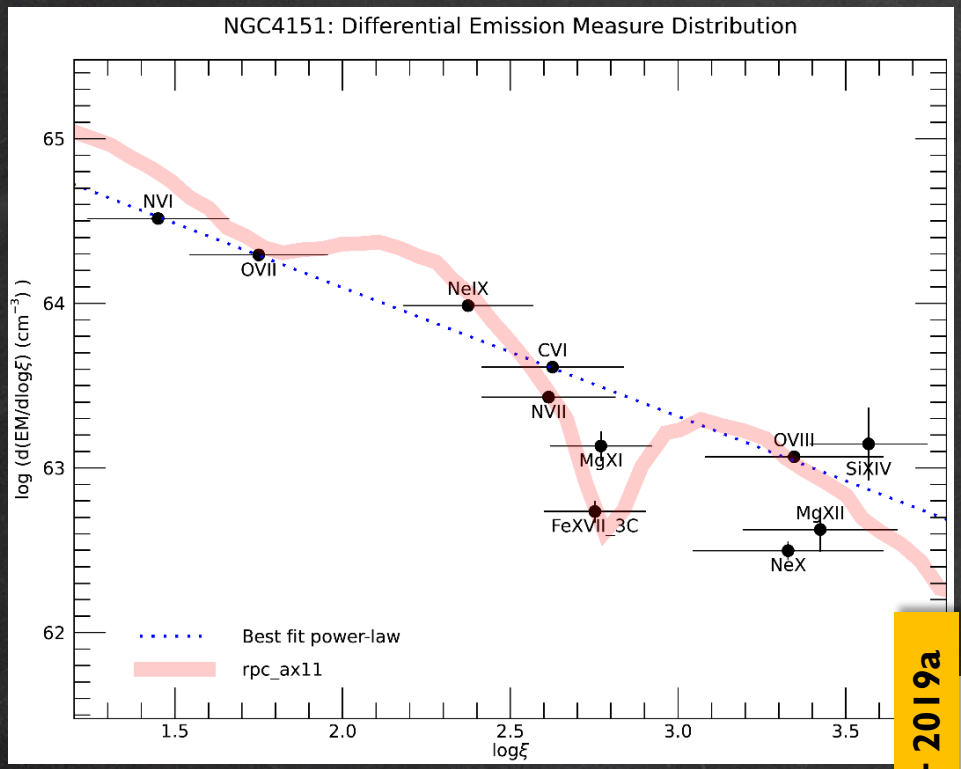
**Bianchi+ 2009a**




The observed DEM in NGC1068 evidently appears as a power-law distribution: a linear regression gives a slope of $\sim -0.85$

**The correspondence between the observed DEM and the distribution predicted for a RPC gas is impressive**

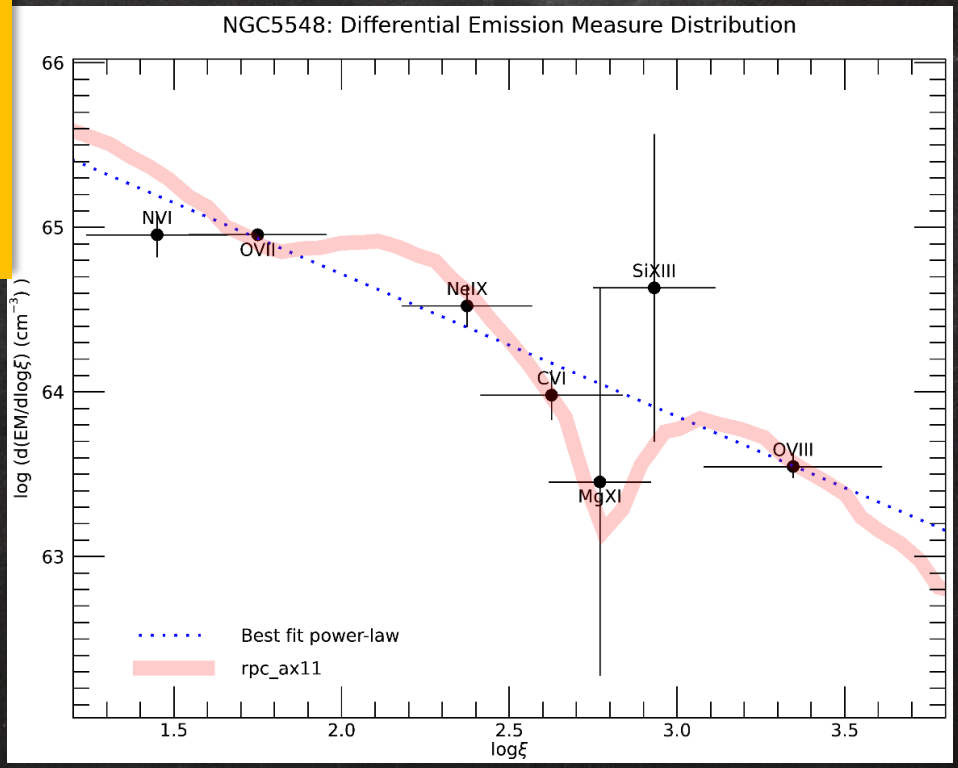
It is important to stress that there are no free parameters in this comparison, apart from the average normalization of the two curves




The observed DEM distribution of NGC 4151 is very similar to that of NGC 1068, again in extremely good agreement with the RPC predictions (slope ~ – 0.78)

**Bianchi+ 2019a**

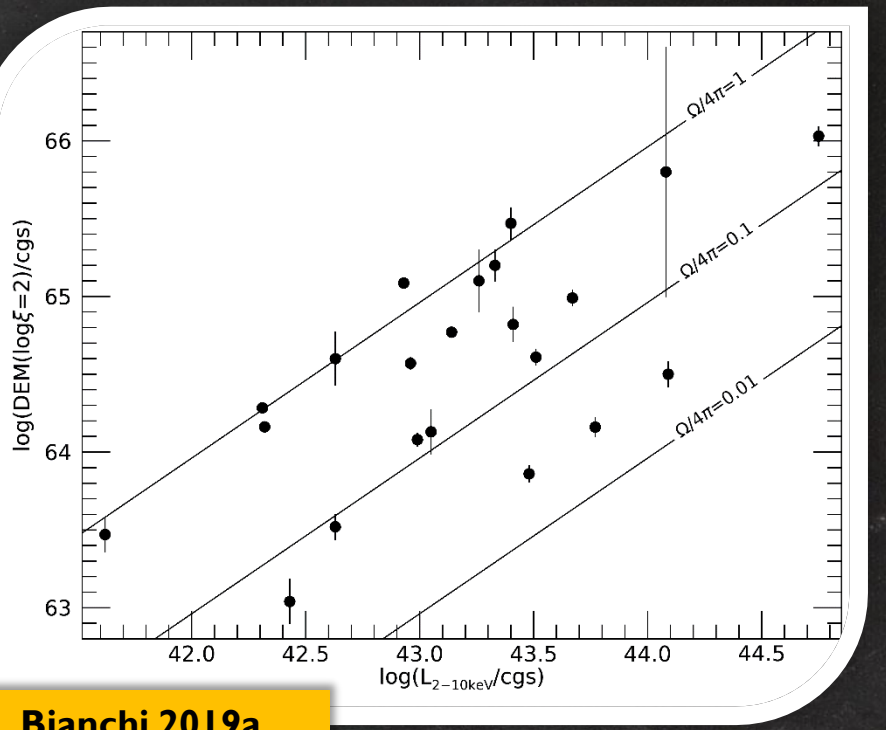
Very interesting case of NGC 5548: the archetypal Seyfert 1 is in an obscured state since (at least) 2012 Its soft X-ray emission is now the same as in Seyfert 2s (slope ~ – 0.87)



# THE DEM IN CHRESOS

Catalogue of High REsolution Spectra of Obscured Sources: 239 XMM-Newton RGS observations of 100 X-ray obscured AGN

| Source (1) | $\log L_{2-10}$ (2) | Lines (3) | Best fit (4) | DEM ($\log\xi = 2$) (5) | DEM Slope (6) | $\Omega/4\pi$ (7) |
|---|---|---|---|---|---|---|
| NGC 1068 | 42.93 | 12 | ax11 | $65.086 \pm 0.004$ | $-0.846 \pm 0.006$ | $1.567 \pm 0.014$ |
| NGC 4151 | 42.31 | 11 | ax11 | $64.284 \pm 0.005$ | $-0.782 \pm 0.006$ | $1.030 \pm 0.012$ |
| NGC 1365 | 42.32 | 8 | ax11 | $64.162 \pm 0.019$ | $-0.53 \pm 0.04$ | $0.76 \pm 0.03$ |
| NGC 5548 | 43.14 | 7 | ax11 | $64.77 \pm 0.03$ | $-0.87 \pm 0.05$ | $0.47 \pm 0.03$ |
| Circinus | 42.63 | 7 | ax07 | $63.52 \pm 0.08$ | $-0.2 \pm 0.2$ | $0.085^{+0.017}_{-0.014}$ |
| NGC 7582 | 43.48 | 7 | ax11 | $63.86 \pm 0.05$ | $-0.45 \pm 0.09$ | $0.026 \pm 0.003$ |
| ESO362-G018 | 42.96 | 6 | ax11 | $64.57 \pm 0.04$ | $-0.71 \pm 0.06$ | $0.45 \pm 0.04$ |
| MRK 3 | 43.67 | 6 | ax11 | $64.99 \pm 0.05$ | $-0.58 \pm 0.07$ | $0.23^{+0.03}_{-0.02}$ |
| NGC 4507 | 43.51 | 6 | ax11 | $64.61 \pm 0.05$ | $-0.84 \pm 0.08$ | $0.138^{+0.017}_{-0.015}$ |
| NGC 5506 | 42.99 | 6 | ax11 | $64.08 \pm 0.04$ | $-0.49 \pm 0.09$ | $0.135^{+0.013}_{-0.012}$ |
| IRAS05189-2524 | 43.40 | 5 | ax11 | $65.47 \pm 0.10$ | $-0.6 \pm 0.2$ | $1.3 \pm 0.3$ |
| NGC 424 | 43.77 | 5 | aion16 | $64.16 \pm 0.06$ | $-0.91 \pm 0.09$ | $0.027^{+0.004}_{-0.003}$ |
| ESO138-G01 | 44.09 | 4 | ax11 | $64.50 \pm 0.08$ | $-0.65 \pm 0.12$ | $0.028^{+0.006}_{-0.005}$ |
| MRK 477 | 43.26 | 4 | ax11 | $65.1 \pm 0.2$ | $-0.9 \pm 0.3$ | $0.8^{+0.4}_{-0.3}$ |
| NGC 777 | – | 4 | aion19 | $66.05 \pm 0.04$ | $-1.84 \pm 0.13$ | – |
| NGC 1052 | 41.62 | 4 | ax11 | $63.47 \pm 0.11$ | $-0.43 \pm 0.19$ | $0.77^{+0.22}_{-0.17}$ |
| NGC 5643 | 42.43 | 4 | ax11 | $63.04 \pm 0.14$ | $-0.72 \pm 0.18$ | $0.045^{+0.017}_{-0.012}$ |
| NGC 6240 | 44.75 | 4 | aion19 | $66.03 \pm 0.06$ | $-2.1 \pm 0.2$ | $0.21 \pm 0.03$ |
| H0557-385 | 44.08 | 3 | ax11 | $65.8 \pm 0.8$ | $0.30 \pm 0.13$ | $0.6^{+3.0}_{-0.5}$ |
| IRAS13197-1627 | 43.41 | 3 | aion13 | $64.82 \pm 0.11$ | $-0.63 \pm 0.18$ | $0.28^{+0.08}_{-0.06}$ |
| MRK 231 | – | 3 | ax07 | $65.46 \pm 0.19$ | $-0.2 \pm 0.6$ | – |
| MRK 704 | 43.33 | 3 | ax11 | $65.20 \pm 0.10$ | $-0.8 \pm 0.3$ | $0.81^{+0.21}_{-0.17}$ |
| NGC 1320 | – | 3 | aion19 | $64.09 \pm 0.17$ | $-0.4 \pm 0.4$ | – |
| NGC 3393 | 42.63 | 3 | aion13 | $64.60 \pm 0.17$ | $-0.7 \pm 0.2$ | $1.0^{+0.5}_{-0.3}$ |
| NGC 4388 | 43.05 | 3 | metals3 | $64.13 \pm 0.14$ | $-0.68 \pm 0.18$ | $0.13^{+0.05}_{-0.04}$ |
| UGC 1214 | – | 3 | metals3 | $64.80 \pm 0.16$ | $-0.7 \pm 0.2$ | – |




**Bianchi 2019a**

No steeper DEMs than RPC:

- Lower $N_H$ clouds can only flatten it (you must have the ionized layer!)
- No other gas compressing mechanism (i.e. magnetic), which can produce only dense photoionized gas

No apparent correlation between covering factor and luminosity

# BLR line widths are driven by the BH gravity

## Keplerian motion

$$M_{\rm BH}({\rm H}\beta) = \Delta v^2 R_{\rm BLR}/G$$

## BLR Radius (Dust Sublimation)

$$R_{\rm BLR} = 0.086(L_{\rm bol}/10^{46})^{1/2}$$

$$M_{\rm BH} = 10^{-21.7}\Delta v^2 L_{\rm bol}^{1/2}\ M_\odot$$

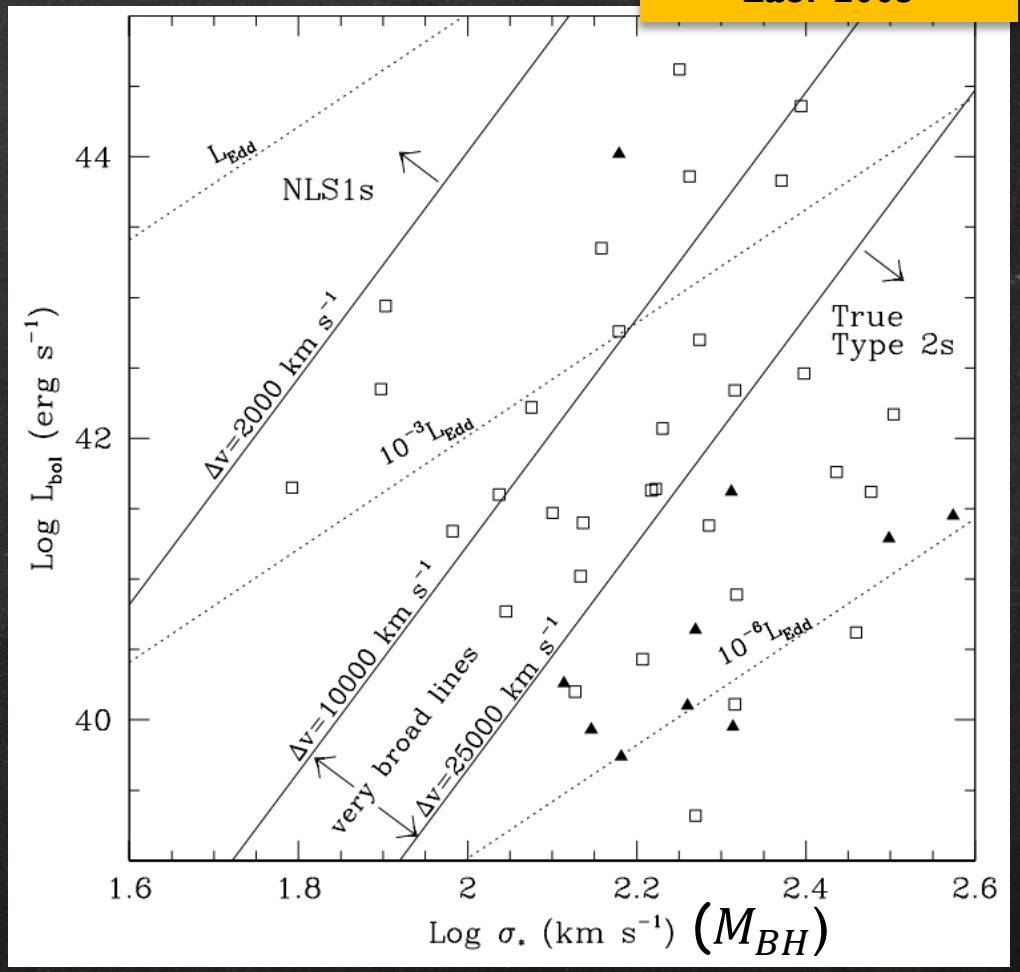
$$\dot{m} = 10^{-16.4}\Delta v^{-2} L_{\rm bol}^{1/2}$$

$$\Delta v = 21.1 M_{\rm BH}^{1/4}\dot{m}^{-1/4}$$

$$\Delta v = 10^{10.85} M_{\rm BH}^{1/2} L_{\rm bol}^{-1/4}$$

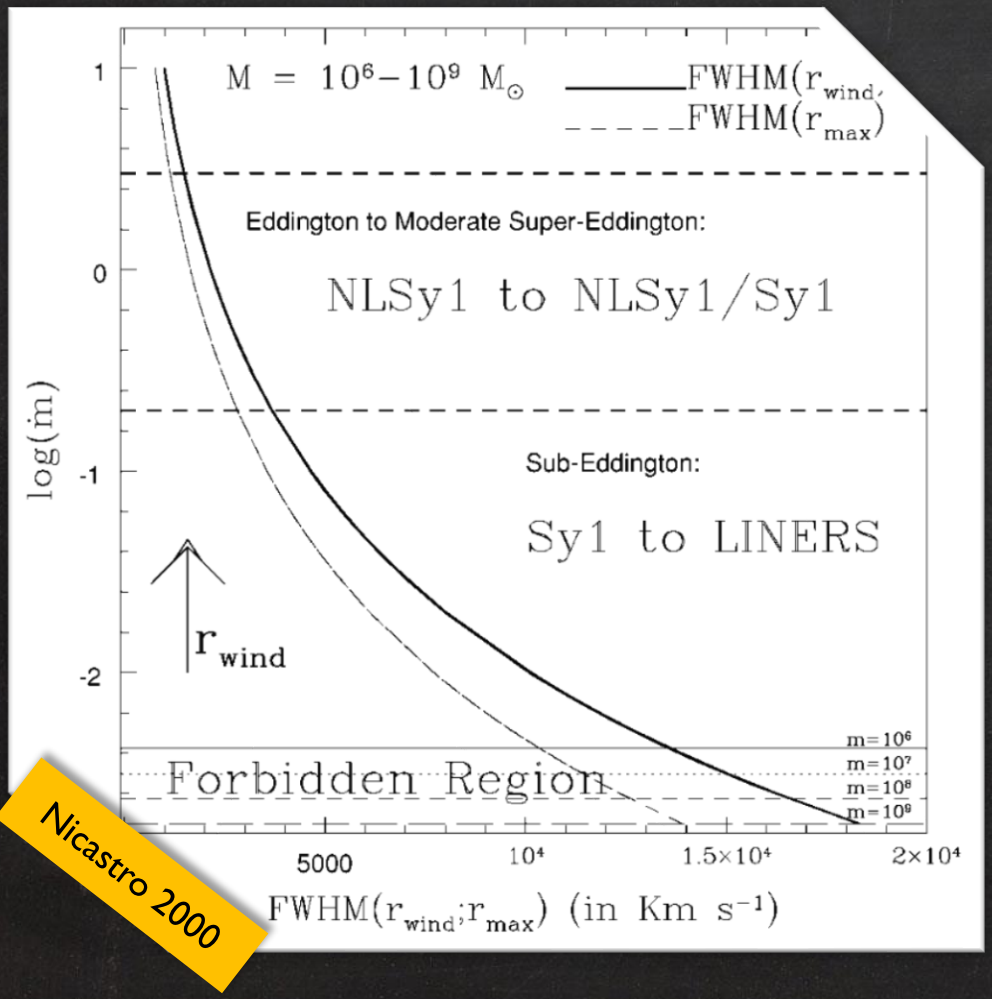
**$\Delta v$ increases as $M_{BH}$ increases and $\dot{m}$ and $L_{bol}$ decrease**

**Laor 2003**



The lack of Balmer lines with $\Delta v > 25\,000$ km s$^{-1}$ may result from a physical upper limit on the velocity dispersion at which the BLR clouds can survive (Laor 2003)

**No BLR is present when $L_{bol} < 10^{41.8} M_8^2$, or $\dot{m} < 10^{-4.3} M_8$**



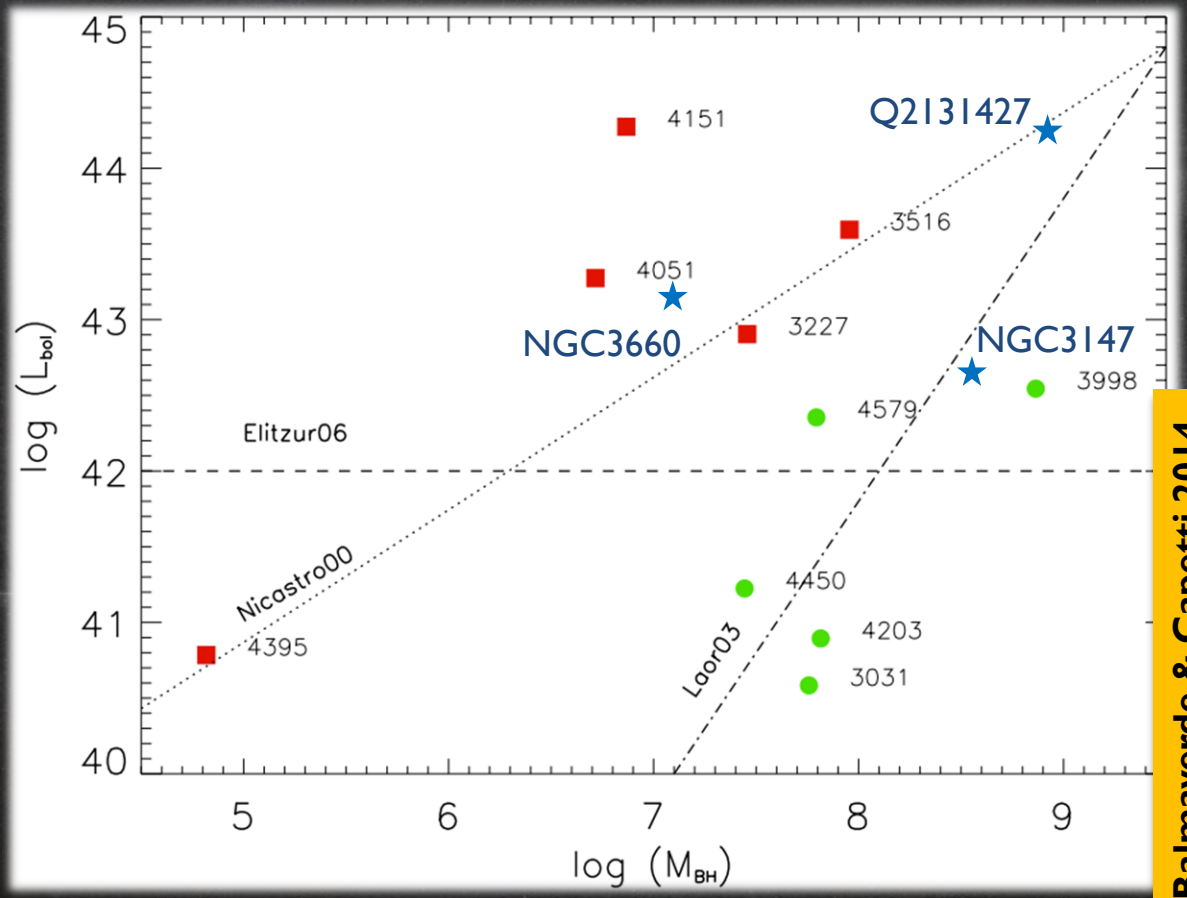

If the BLR is part of a disk wind, it cannot form if its launching radius falls below a critical radius: the innermost orbit of a classic Shakura & Sunyaev disk (Nicastro 2000), or the transition radius to a radiatively inefficient accretion flow (Trump+ 2011)

**No BLR forms for Eddington rates lower than a critical value ($\sim 2 \times 10^{-3}\ M_8^{-1/8}$)**

**If the BLR cannot form in weakly accreting AGN, we expect the existence of "true" Seyfert 2 galaxies: optically Type 2 objects, without obscuration**

The best candidates are found with simultaneous optical/X-ray observations:

**NGC3147** ($4 \times 10^{-5} - 3 \times 10^{-4}$: Bianchi+2008, 2017), **Q2131427** ($2 - 3 \times 10^{-3}$: Panessa+ 2009), **NGC3660** ($4 \times 10^{-3} - 2 \times 10^{-2}$ : Bianchi+, 2012)




Balmaverde & Capetti 2014

Have the above theoretical predictions, that the BLR disappears at very low luminosities/accretion rates, indeed been vindicated by these objects?

Be careful:

- low $L_{bol}/L_{Edd}$ AGN are heavily dominated by the host galaxy emission
- low $L_{bol}$ – high $M_{BH}$ make the lines extremely broad, even harder to detect

## NGC3147: THE BEST CANDIDATE

$3.1 \times 10^8 M_\odot$

$4 \times 10^{42}$ erg s$^{-1}$

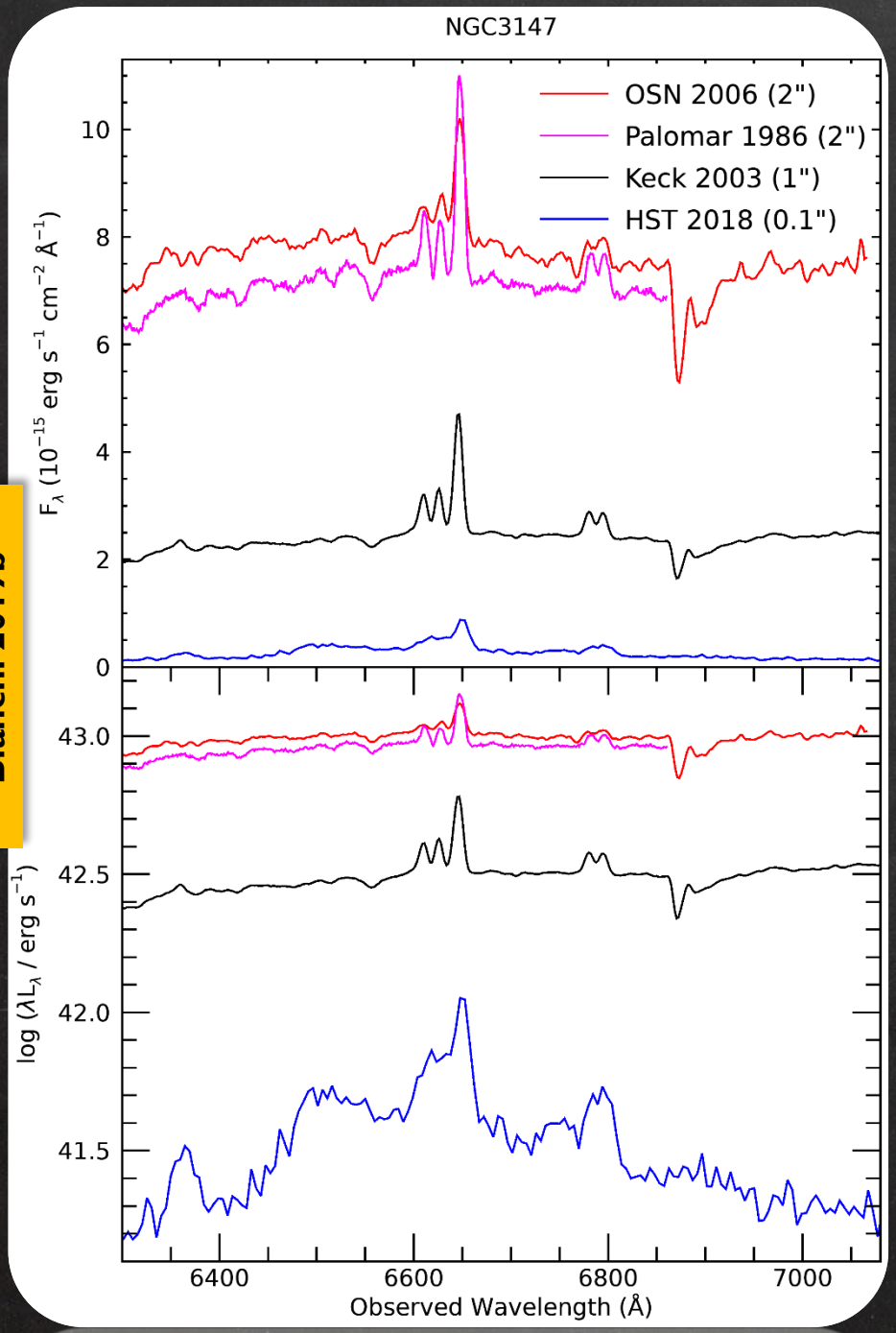
$$\mathrm{FWHM_{H_\alpha}} \simeq 7088 \left(\frac{M_{BH}}{10^8 M_\odot}\right)^{0.49} \left(\frac{L_{bol}}{10^{44}}\right)^{-0.26} \mathrm{km\,s^{-1}}$$

**Stern&Laor 2012, Bianchi+2012**

$\mathrm{FWHM_{H\alpha}} \simeq 28\,000$ km s$^{-1}$

The only way to definitely exclude the predicted BLR emission is HST spectroscopy

The HST narrow slit (0.1") can exclude the bulk of the host emission, and reveal if the expected very broad Hα is indeed present

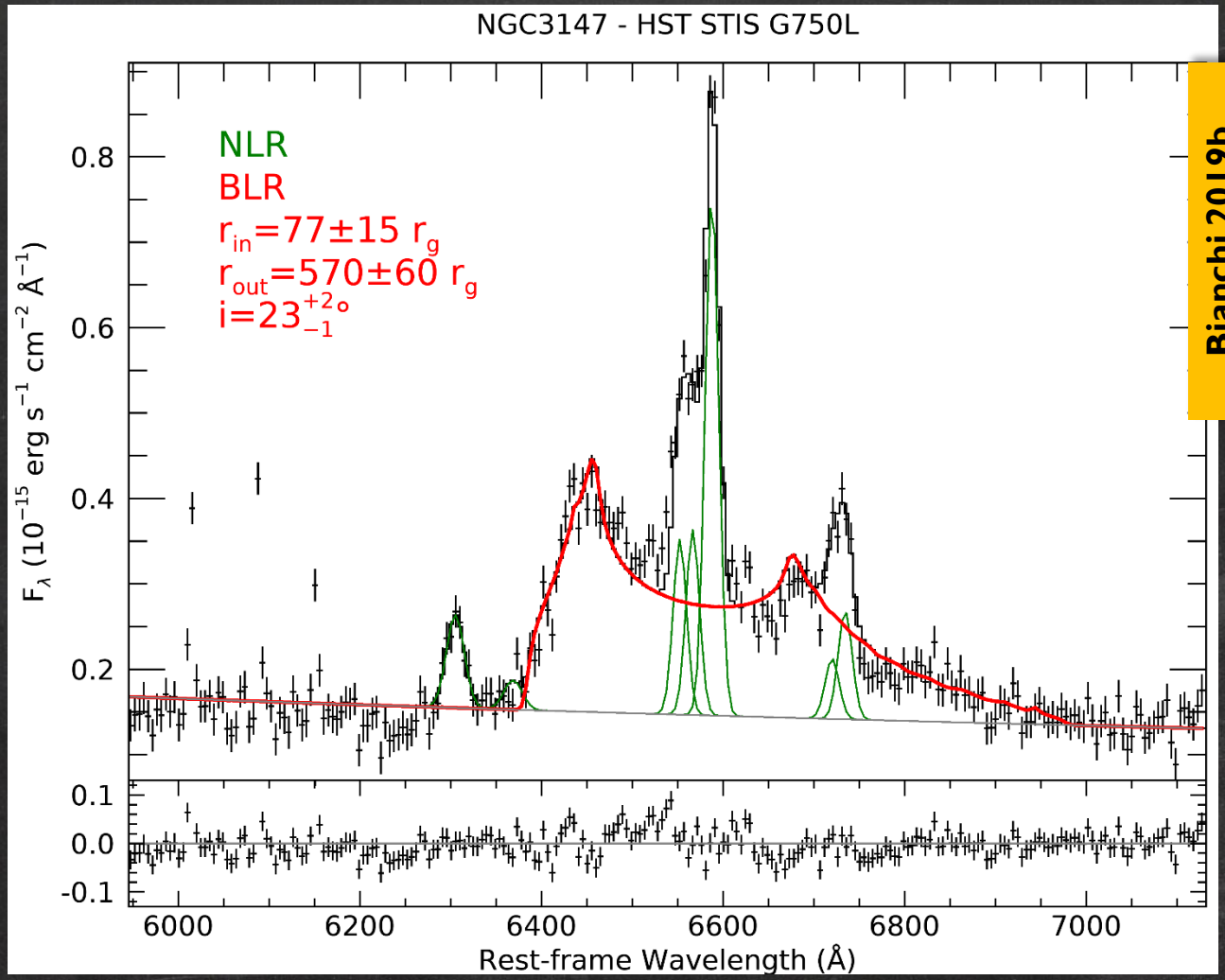



Bianchi 2019b

The small slit width hugely reduces the host contamination

**An H$\alpha$ line with an extremely broad base (FWZI~ 27 000 km s$^{-1}$) is now evident!**

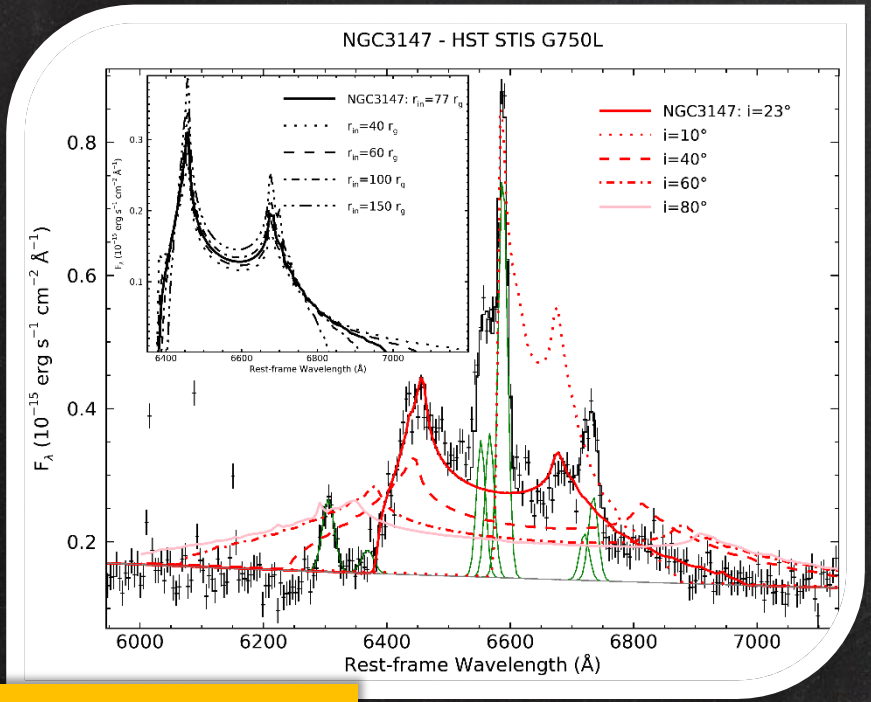
***NGC 3147 is not a true type 2 AGN***




The line profile shows a steep cutoff blue wing and an extended red wing, which match the signature of a mildly relativistic thin accretion disk line profile

It is indeed well fit with a nearly face on thin disk with an inner radius at $77 \pm 15\ r_g$, which matches the prediction of $62^{+18}_{-14} r_g$ from the $R_{BLR} \sim L^{1/2}$ relation

The luminosity of the broad Hα line is consistent with the predicted values from the X-ray and [O III] luminosities (Stern & Laor2012a,b): NGC 3147 appears like a standard type 1 AGN at the very low end of luminosity ranges

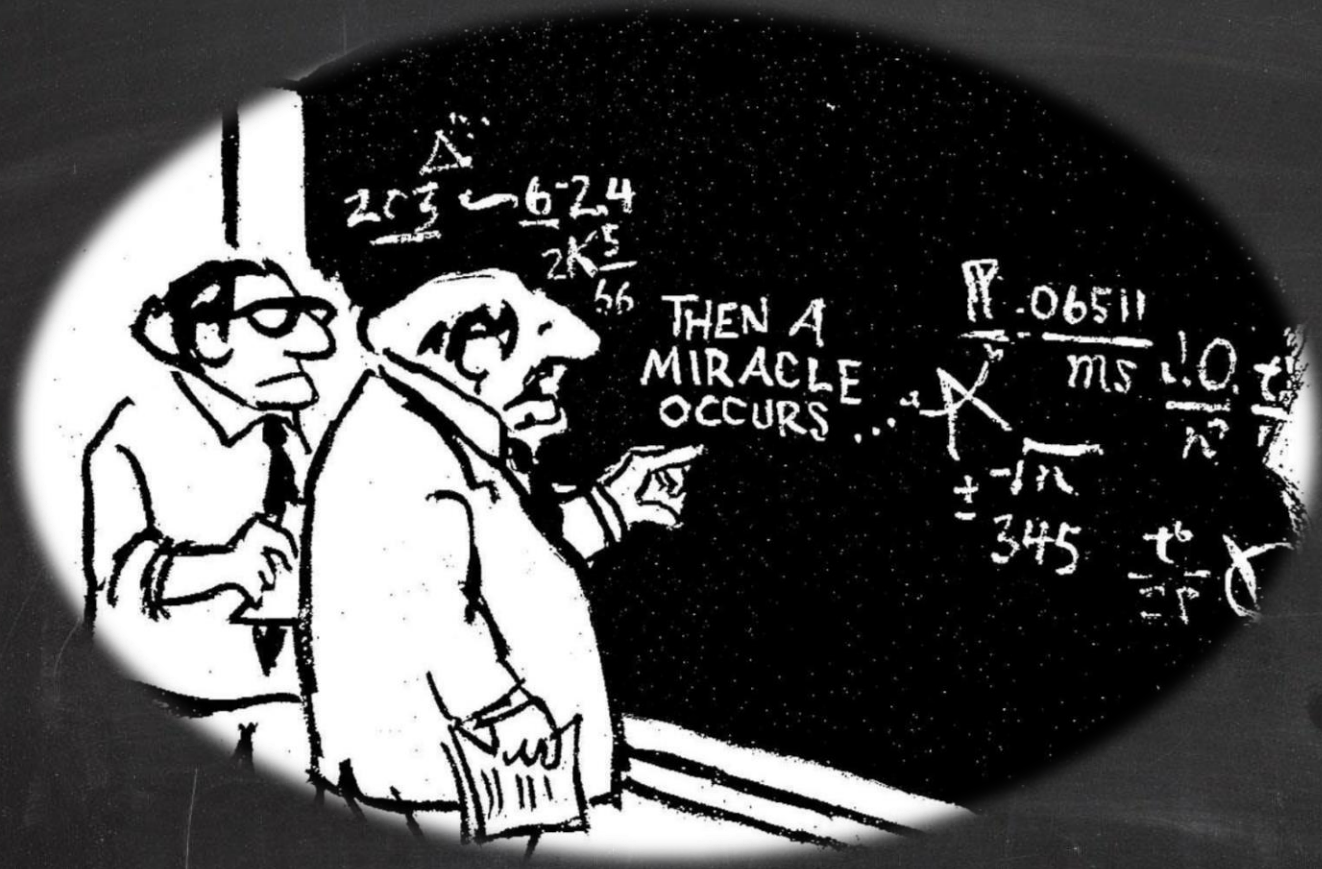



**Bianchi 2019b**

Hα disk line profiles have been observed in a handful of objects: here we find that the BLR forms a thin disk, extending down well below $100\ r_g$

A thin accretion disk at $L/L_{Edd} \sim 10^{-4}$ is in contradiction with the standard paradigm, that at low accretion rates, the accretion configuration becomes optically thin and quasi-spherical (Blandford & Begelman 1999)

***Optical detections of relativistic line profiles may provide a new tool to explore the innermost disk structure***




"You put a cloud of gas at some distance from the AGN, and the rest is set by nature."

(Ari Laor)

BASIC REFERENCES

Bianchi, Guainazzi, Laor, Stern, Behar, 2019a, MNRAS, 485, 1

Bianchi, Antonucci, Capetti, Chiaberge, Laor, et al., 2019b, MNRAS in press